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Fatherhood and Incarceration as Potential Turning Points in the Criminal Careers of Unskilled Men

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Over the past thirty years, three interrelated trends have profoundly affected the lives of low-income men. First, wages for low-skilled men employed full-time and full-year have declined sharply, as has the proportion of men who do work full-time and full-year. The drop has been substantial for African Americans and Latinos, but especially dramatic for unskilled whites (Bound and Johnson 1992; Katz and Murphy 1992; Lerman 1993), a trend that continued even through the economic expansion of the late 1990s (Holzer and Offner 2001). Second, rates of marriage for low-income and minority men have declined dramatically, driving up the proportion of these men with noncustodial children (Tucker and Mitchell-Kernan 1995). Third, incarceration rates have also increased, especially for low-income and minority men (Federal Bureau of Investigation 1990, 1992).¹

What does the confluence of these trends mean in the lives of unskilled and semiskilled men? There is substantial evidence that criminal involvement increases when men are unemployed (Farrington et al. 1986), when their wages are low (Doyle, Ahmed, and Horn 1999; Grogger 1998), and when entry-level jobs are scarce (Shihadeh and Ousey 1998). Conversely, offenders tend to desist when they find stable employment and show commitment to their jobs (Shover 1996), especially if this transition occurs when they are older (Bachman and Schulenberg 1993; Hagan and McCarthy 1997; Uggen 2000). Attachment to the military has a similar effect, and timing also matters (after the age of twenty-two, military service tends to disrupt adult bonds to family and work) (Sampson and Laub 1996). Young men also tend to turn from crime when they marry and maintain a stable marital relationship over time (Laub, Nagin, and Sampson 1998; Laub and Sampson 1993; Sampson

and Laub 1990, 1993), though the relationship may also be sensitive to age in that a very young marriage can worsen offending behavior (Bachman and Schulenberg 1993). Robert Sampson, John Laub, and others explain these variations in criminal involvement (which persist after controlling for prior delinquent activity) by utilizing aspects of social control theory (Hirschi 1969) and the life-course perspective (Elder 1985; Hagan and Palloni 1988).

Social control theory, which draws from Émile Durkheim's work on anomie (1951), posits that individuals engage in deviant behavior when their bonds to society are weak or disrupted. The life-course perspective examines "pathways through the age differentiated life span," in which age manifests itself through "expectations and options that impinge on decision processes and the course of events that give shape to life stages, transitions, and turning points" (Elder 1985, 17). "Turning points" are key events that occur at a particular stage in an individual's life course (see also Hogan 1980) that may alter his or her trajectory—in this case, a criminal one—by either increasing or decreasing "social bonds to adult institutions of informal social control" (Sampson and Laub 1990, 625) and thus act as either a brake on or a spur to criminal involvement. The life-course perspective recognizes that individuals differ in their adaptations to similar life events and that these responses can lead to different pathways (Elder 1985, 35). The change can lead an offender to desist completely, offend at a lower level, or trade one kind of offense for another (Laub and Sampson 1993).

Turning points may steer a young man away from a criminal path and toward a more normative trajectory (Elder 1985; Warr 1998). However, these need not be unidirectional. In a qualitative reanalysis of data collected on a sample of delinquent and nondelinquent white teenaged males born between 1924 and 1935 (Glueck and Glueck 1950, 1968), Laub and Sampson (1993, 17), analyzing declines in job and marital stability, have found that when these social bonds were disrupted, criminal and deviant behavior increased. In a similar vein, Julie Horney, Wayne Osgood, and Ineke Haen Marshall (1995, 655) consider whether an individual's offending behavior is influenced by "local life circumstances that strengthen or weaken social bonds" over time. They find that men committed more crime during the time periods when they were using drugs and committed less crime during the time periods when they lived with a wife.

GOAL OF THE CHAPTER

Our goal in this chapter is twofold. First, we examine all men in our sample who reported any criminal activity in their past (including those with no imprisonment or incarceration history) and look for any evi-

ning a father has functioned as a turning point in their lives. We do not limit our analysis to the time of the birth since we find that it is often a higher-order birth that is most salient (perhaps because they are older and at a stage in their lives). In particular, we want to explore the extent of fatherhood, when combined with fear of being as a deterrent to future criminal activity.

Existing and desistance literature routinely considers men's situations of the workplace, school, and marriage as well as mobility or immigration and even exogenous or "chance" being drafted in wartime or being part of a cohort with regard to a social good like the GI bill. Given this theoretical perspective, we were startled that we could not find a single study that experience of paternity as a potential turning point, despite Laub's (1990, 611) observation that "in later adult-invariant institutions are work, marriage, parenthood, and community."² This was particularly surprising because studies emphasize the origins or onset not of criminal behavior but of desistance from it (see also Uggen and Pilavian 1998).

In this chapter is entirely consistent with the social construction perspective advanced by Sampson and Laub; it is dismaying that there is little emphasis on fatherhood as a potential source of adult desistance. To our investigation here of the effects of fatherhood on the contribution of Anne Nurse's contribution on (chapter 4 in this volume), Nurse finds, as do we, a general desire on the part of young fathers to maintain relationships with their children. Yet as she documents, that desire is heavily influenced by the relationship with the child's mother, a factor that is in our research as well.

drawn from the *Fragile Families and Child Wellbeing Survey* (Hhan et al. 2001b) show that unmarried fathers often consider romantic relationships with the women who bear their children, and some say they plan to marry their child's mother. The fact that more than eight in ten fathers surveyed actually attended the birth of their child or did and mother while they were still in the hospital attests to the importance these men place on their bonds with their children (Hhan et al. 2001a; also see chapter 2 in this volume for more discussion of the Fragile Families study). For such men, who seldom marry employment until they are well into their thirties (if at all), sometimes the only event that has the potential to act as a

Second, we look at the effect of imprisonment and incarceration on low-income noncustodial fathers' ability to form and maintain social bonds with their children. In this second part of the analysis, we limit our focus to those men in our sample who have been imprisoned or incarcerated since becoming fathers and analyze their life-history narratives to identify the role that incarceration may play in either weakening or strengthening these bonds. If social bonds are important predictors of within-person variations in criminal activity over time—and if the salience of the father-child bond for fathers in this group can be demonstrated—policymakers will have to ask whether incarceration policies inadvertently increase criminal behavior by affecting fathers' ties to their children. On the other hand, we must give equal consideration to the possibility that for some fathers, especially those with particularly high offending rates, incarceration may play a restorative role, allowing bonds that were largely latent to begin to form or re-form.

THE CONTRIBUTION OF QUALITATIVE DATA

Existing data can and have been used to analyze relationships between some of factors outlined here. However, these data have some serious limitations, and our data some distinctive advantages. Most important, noncustodial fathers are seriously underrepresented in large data sets, and this is especially true of low-income, never-married, and minority fathers (Garfinkel, McLanahan, and Hanson 1998). According to estimates made by Irwin Garfinkel, Sara McLanahan, and Thomas Hanson, it is fair to say that, with the exception of the Fragile Families Study (which surveys only new fathers), for low-income and minority noncustodial fathers, the underrepresentation problem in most large surveys is so severe that it constitutes something of a crisis. Although we do have indirect data on these men, drawn from the reports of the women that bear their children and the heads of the households they reside in, little is known about these fathers' own perspectives. We have been able to interview a large number of such men and have enjoyed a high degree of rapport with them in the interviewing process.

In addition, qualitative data such as these can make several unique contributions to the body of research on low-skilled men. First, though the hallmark of quantitative analysis is measuring relationships between variables, the limitations of the data often mean that the processes or mechanisms leading to the outcome of interest remain opaque. Qualitative analysis can help shed light on the processes and mechanisms by which one set of factors leads to another, such as how the event of fatherhood might act as a turning point in some cases but not in others. Second, qualitative data can help reveal actors' motivations for a given course of action. Although we do not always take these accounts at face

analyzed the data can help to inform theories that about such motivations. Third, qualitative methods respond to questions in open-ended narrative form in a fixed-choice manner. The resulting accounts can help explain correlates to outcomes of interest that might not have been considered. Fourth, qualitative interviewers often agree of rapport with their research subjects and are better able to get accurate measures of sensitive issues activity or family violence).

A quantitative research using especially thorough survey method makes a significant contribution. For example, in volume, Bruce Western, Leonard Lopoo, and Sara van Praag's *The Fragile Families* data, find a statistically significant relationship between incarceration and marriage among fathers and mothers. This chapter (and Anne Nurse's chapter) finds no evidence to interrogate the tenor, substance, and consequences between parents—from the fathers' perspective—than post hoc rationalizations of behavior. Given the limitations of our approach, though, is that our data are cross-sectional. Therefore, we rely on retrospective accounts and on interpretations that may, in some ways, be known about the life experiences and worldviews of custodial fathers or how they experience and interpret the law enforcement regimes of these locales vary as new hypotheses for future scholarship.

or equivalent data are not collected across all cases. This data set is unique in that we conducted a large number of interviews in a highly systematic manner. Our sample is evenly divided by race-ethnicity (black, white, and Latino in Philadelphia and black and white in Charleston) and by age category (fathers aged thirty and under and fathers over thirty). As a result, we have thirty fathers in each race-age cell in each city and a total sample of three hundred. For this chapter we have analyzed forty-five cases with incarceration histories and an additional forty-five cases with episodes of criminal involvement but no incarceration history. More than one-third of respondents report imprisonment or incarceration (jail, prison, or time served in an alternative institution such as a rehabilitation program or halfway house). Roughly two-thirds reported at least one episode of criminal involvement over their lifetime.³

We did not recruit our respondents randomly; rather, we used a targeted neighborhood approach. In each city, we used census data to identify census tract clusters with relatively high levels of poverty, because these were the neighborhoods that also contained the largest number of poor single-parent households. We had to assume that the fathers attached to such families could also be found there. Our initial list of target clusters, or "neighborhoods," contained all those neighborhoods with a poverty rate of at least 20 percent. This relatively low poverty threshold ensured that a sufficient number of white neighborhoods could be identified for the study (typically, the white poor are far more likely than their African American or Puerto Rican counterparts to live in mixed-income neighborhoods). From this list, we selected several target neighborhoods in each city that had demographic characteristics that typified the range of neighborhoods we had identified for each ethnic group. To offer one example, the Philadelphia metropolitan area contains a large number of predominantly African American neighborhoods that met our criteria. But because they are concentrated in the cities of Philadelphia and Camden, we chose three Camden neighborhoods and six Philadelphia neighborhoods that roughly represented the range of social conditions (unemployment rates, poverty rates, preponderance of single-parent families) seen in the broader list of predominantly African American neighborhoods on our list. Fewer white and Puerto Rican than black neighborhoods ended up fitting our criteria, so we interviewed in all of these communities rather than selecting among them.

Once we had selected our more than two dozen target neighborhoods, we then canvassed each neighborhood on foot, informally interviewing owners of local stores, representatives of local grassroots community groups, clergy, representatives of nonprofit social service agencies, neighborhood employers, and representatives of other social institutions (teachers, social workers, and other government agency rep-

resentatives living in two U.S. cities (Philadelphia, Pennsylvania, and Charleston, South Carolina) conducted from September 1995 to January 1997. Both sites had slack labor markets during the study period, but area's was one of the tightest in the nation. Both sites support enforcement systems, but Charleston's is especially harsh. The law enforcement regimes of these locales vary as well. The size of the sample is small, but the variation of qualitative data is that the sample size is small

neighborhood canvasses, along with data on male fathers in the census, gave us some sense of the range of low-income fathers we might find in each neighborhood and where we might find them. We also asked for referrals from each of these sources and from direct referrals from each of these sources and from direct green fieldworkers and research subjects at bus or train labor corners, formal-sector places of employment, where we learned neighborhood males tended to congregate (e.g., convenience stores), taking care to try to range of men we were expecting to find in each neighborhood our canvass. Men who were successfully recruited

were also asked for referrals to neighborhood men they were unable to identify through these means. The result was random, but our intent was to maximize heterogeneity as possible the composition of the population sampled strategy, all of our respondents earn less than \$10,000 or \$16,000 a year from formal sector employment, disengaged indeed. The fathers in our sample with the criminal justice system primarily through the common crimes include theft, auto theft, burglary, robbery, and these are often drug related). Drugs play a large role in this chapter; though not all of the incarceration we observe results from drug involvement, most is at least related to the use or sale of controlled substances.

area of interest to us. Our father interviews contain their relationships, both with their children's mothers and children, their employment histories (including for criminal employment), a recounting of their experience with the criminal justice system, and detailed information on their sources, including informal work and crime) and these data are potentially useful for understanding the which involvement with the criminal justice system father's bond with his children and whether familial relationships and children may affect criminal trajectories. In we have used a focused life-history approach that is graphic studies. Such an approach does have a serious

FINDINGS

The event of fatherhood can sharply alter how men perceive the risks and rewards of criminal activity, particularly because they believe strongly that street crime leads to either victimization (death or debilitation) or eventual incarceration. For those men who choose to activate the fathering role (whether with a first or subsequent birth), this belief is likely to make the risks of crime far less tenable. Additionally, the men we interviewed tend to value the ties to their children above all other social bonds that could potentially link them to institutions of informal social control. As a result, fatherhood in and of itself can prove a powerful turning point that leads men away from crime and toward a more mainstream trajectory.

For offenders who maintained contact with their children (or their children's mother) before their arrest, the event of incarceration has a pronounced negative effect on the bonds with their child and the child's mother. Social control theorists would expect these men to continue their criminal careers after release because of these diminished attachments. For offenders whose lifestyle has created a wedge between them and their families before arrest (usually because of severe drug or alcohol addiction), incarceration itself can be a turning point; an opportunity to take a time-out and reorient one's life. In some cases, fathers in this group use the experience of incarceration to rebuild severed ties with children (though often the romantic tie to the child's mother is not salvagable, a cooperative friendship may sometimes emerge). From the social control perspective, incarceration may have a rehabilitative effect for those men in this group who take advantage of the opportunity to reattach themselves to family.

FATHERHOOD AS A TURNING POINT

One common theme that emerged from our interviews was the dramatic impact that becoming a father often had on men's lifestyles. The stories

and us sometimes had the flavor of religious testimonies and were retold into before-and-after accounts. Typically, these fathers involved in selling or using drugs, hanging out on the corner, living with "several different women—a lifestyle several referred to as "rippin' and runnin' the streets." However, after their child was born, many reported a dramatic change in their behavior, a nineteen-year-old African American high school graduate, Camden who works in the formal sector, regularly spends his daughter (though he provides only intermittent financial support) on her birthday (though he provides only intermittent financial support) on her birthday because he describes the impact the birth of his daughter had on his

lifestyle. I grew up on the streets, everything from drugs to this and that, I mean, I've been in jail before. But ever since she's been born, I slowed down a lot. Now... Cause it's like, before her, I didn't really care too much about anything. I really just lived every day for that day. But as of now, I'm doing every day for today and tomorrow.

Comments were echoed by "Bucket," a forty-six-year-old African American father of one adult daughter and one twelve-year-old daughter. He has a certificate of general educational development (GED) and odd jobs (mostly window washing). Though he sees his youngest daughter twice a month, he offers no financial support. "I always wanted to be a father. I always wanted a child. I waited until I was eighteen years old before I had my first child, but I always wanted to be a father. Before I had her, [I was] in trouble. I was doing wrong things, wild, crazy. It always seems as though I was getting in trouble."

He often enthusiastically embraced the lifestyle changes brought about by his new role and did not merely accept them with reluctance. A twenty-three-year-old African American college dropout (he moved out to help support his son) sees his six-month-old son frequently and intermittently contributes a portion of his earnings from his formal-sector job. When we asked him how the pregnancy of and birth of his son had affected him, he told us,

him than be somewhere, because even when I am out, I am like thinking about him. [I have] reoriented [my life].

According to many of these fathers, their child's birth literally "saved" them from the streets. The salvation theme was fairly strong in virtually all of the interviews with fathers who maintained some level of involvement with their children. Even among those fathers whose involvement had lapsed, many still used this salvation motif to describe how their lives had been transformed by a child's birth. For some fathers, the first child was a sufficient impetus to leave the streets. For others, the transformation did not occur until a second or third child was born, when the father was older.

In addition to these retrospective accounts of lifestyle changes, the impact of fatherhood was also apparent from the answers fathers gave when we asked them to imagine what their lives would be like if they had never had children. Some men did tell us that things would be easier for them, that they could have finished school or taken advantage of better employment opportunities. But the overwhelming majority of fathers we interviewed believed strongly (even passionately) that their present situations would be much worse without the presence of children in their lives. The most involved fathers spoke most poignantly to this point, but many less involved fathers (and even some completely uninvolved fathers) said their lives would mean very little if it were not for the fact that they had fathered a child. The following quotes are representative of many others that we could have selected. Kevin, a twenty-one-year-old African American father with a GED, does not work regularly but "babysits" his toddler each day while the child's mother works. Kevin contributes financially when he picks up odd jobs on weekends. "I think [my life] would be a lot different to tell you the truth. Yeah, [I would be] getting into trouble. No, I wouldn't be settled. I'd probably be a dog, I hope not with AIDS, ... I'm more settled now. [Being a father] has stopped me from doing something real stupid."

Lee, a forty-two-year-old high school graduate, lives with the mother of his youngest child and contributes to the household expenses as well as to the support of his older two children. "Without the kids," he said, "I'd probably be a dog, I hope not with AIDS, ... I'm more settled now. [Being a father] has stopped me from doing something real stupid."

I'd probably still be doing the things I was doing. 'Cause when I did have my first child, it changed me. It stopped me from doing all this stuff I was doing before. So maybe I'd still be doing the things I was doing before if I didn't have her. ... I was on the weed [and] drinking a lot. If I didn't have [my children], I'd still be doing that.... Because

it has definitely changed my day-to-day life because I know that whole nine months my girl was pregnant and to this date, I have to totally with her, if not physically at least like on the phone, [] "How is everything?" I don't talk to anybody like my friends used to go to parties and things like that. And it is not because like "Oh darn I can't go out." I want to be there. I want to see my son, you know. I would rather know what is going on with

"I stopped hanging with different people. I stopped going ceramics, you know what I mean. And I got an outlook on life that's different."

In particular, some men claimed that their status as fathers was intertwined with selling drugs, an activity that many had engaged in birth of their children. Robert, a twenty-three-year-old African father, asked whether he would consider selling drugs again given his financial problems, replied,

I want to keep it clean, and that is the hardest thing. I could do it probably make three times over [what I'm making now], and I probably make out of all of this [financial mess]. But I don't think that it would make me a better person because it would make me paranoid, is I would be bringing an environment around my child that I don't want. Beeping at twelve o'clock at night and things of that—because I used to do that type of thing when I was younger. I need it.

FRAGILE TIES

A third perception among scholars is that incarceration has negative effects on the father-child bond. This makes sense, in that jail time removes the father from his children's lives, in terms of both proximity and economic contributions. Fathers in our sample fit into the severed-ties group had several characteristics in common and of itself (that is, "under-the-table" employment). Third, they vast majority offended either infrequently or moderately. Most had combined their criminal activity with some sort of employment that, though not always formal-sector work, was in and of itself (that is, "under-the-table" employment). Third, generally reported no heavy drug or alcohol use before any episode of incarceration. Perhaps for these reasons all the men in IP had established some sort of bond with at least one of their children and their children's mothers. Virtually none of these fathers, the event of incarceration proved devastating to their relationship or became involved with another man. Because generally the conduit through which fathers' communication must flow, the severing of the romantic relationship

with the child's mother nearly always posed problems for fathers who wanted to maintain a connection to their children.

Second, in this group sometimes claimed that their children's mothers used the fact of incarceration as a justification for prohibiting the father from any subsequent contact with his child or to "talk trash" about the father to the child, thus lessening the child's motivation to remain strongly bonded to the father. Several fathers in this group, for example, found upon release that their children and their children's mothers had moved away or had simply disappeared.

Third, even when mothers attempted to preserve the father-child tie during the period of incarceration, the mere fact of incarceration often means that fathers miss out on those key events that serve to build parental bonds and to signal to the community that they intend to support their children both emotionally and financially. These key events include attending the child's birth or observing developmental milestones such as walking and talking. The father's absence at these crucial moments, we argue, can weaken his commitment to the child and, years later, the child's own sense of commitment to his or her father.

The harmful effects of incarceration can be seen in the case of Mark, a thirty-two-year-old African American in Philadelphia who works under the table as a sandwich maker at a convenience store-delicatessen. Mark has an eleven-year-old daughter whom he sees several times a month and to whose support he contributes intermittently. Mark, one of five children, grew up with his mother and grandmother. His father left the family when he was quite young, and Mark has not seen him since he was seventeen years old. After graduating from high school, Mark went to work as a janitor, and at this time met his daughter's mother, who was still a high school senior. They had been together two years when his daughter was born. When she was five years old, he was arrested for selling drugs.

It was a situation because why I started selling was because of my daughter. That is an excuse, true, but my daughter didn't have nothing for that Christmas . . . and it sent something inside [of me] and it just totally blew my mind. And I knew friends and family that was [selling drugs] and I always could have got into it but I didn't want to [before].

This was Christmas, and . . . I couldn't get nothing [for her]. And to be a dad, I don't care if he is doing drugs or anything, if a dad is out there and he love his child and he love his kids and if he can't get them stuff for that special occasion, it sends something through them.

Mark's plan was to sell drugs for just a short period of time, make a lot of money (he claimed that he made about \$700 a day when he sold drugs full-time), and then move back into the legitimate workforce. He thought

ask of imprisonment was minimal because he had never been accused of any crime before. "You know what? I thought I could outsmart the system," I said. "This is my first time. I never did anything [because] I got caught; I am [only] going to get probation and I could get off that." [But] it didn't happen that way." Instead, he was arrested two weeks after he began dealing and was sentenced to one year in prison where it is murderers and rapists and "[I was sent to a] prison for three hundred years, and it totally sent me back home for three hundred years, and I wasn't going to come back again." Although he was locked up, Mark's girlfriend started seeing another man when he returned from prison, she was still back together when he returned from prison, and they have remained together ever since. Mark feels that his time in prison is to blame for creating a wedge between him and his daughter's mother.

"I wouldn't have got locked up, I would have still been with her, in essence that it would have never happened—she would have never said no one else. [But] I can't say that the blame was hers; I can't say the blame was my daughter's. The blame was [on] me and myself. I'm still in that situation and [now] it is up to me to think with a head and take it on another level."

Martinis is a forty-year-old African American father currently serving a sentence for parole violation in a halfway house in Philadelphia. A two-year-old son by his current girlfriend and two teenagers from a previous relationship. Although his eighteen-year-old son is more forgiving of his father's lapses, his seventeen-year-old son had become bitter over his going back and forth to prison as he was growing up. Just before we interviewed him, Martinis had some effort to restore his relationship with his daughter, but he felt that he had a lot of history to overcome.

"At the beginning we were [close]—all the way up until the age of eight or nine were we close. But after we keep getting myself in trouble [going back and forth to prison], I guess she kind of gave up on me. I was never around, and I guess it hurt her. We just recently had a conversation on the phone, and I had to explain to her where I was. I just told her that I was literally, but my mindset and why I made the mistakes I made, and why I was incarcerated and why I did certain things. It wasn't like I wanted to be away from her. I told her that I missed her dearly, and we had a little rapport.... Actually we [hadn't] spoken to each other. My kids' mother said, 'You are the adult,

Go down there and talk to her and get y'all thing together so that y'all can have some kind of rapport'.... I had to go ahead and do that, and we talked, and she cried and she explained, why she feel the way she feel. She feel that she didn't have no dad and it hurted me to hear that, but it was the truth. I don't blame her in a way, because I wasn't there. So now I have been trying to incorporate myself into her life again and in her daughter's life. She is coming around now; she is coming to accept me more. But I don't think she is putting her all in it, because she may feel as though she don't know if I am going to disappear again. I used to promise her this and promise her that, and sometimes I didn't come through. I am not a bad dad—they tell me that they love me and everything, but it is just that I am not always there for them when they really need me around."

His latest incarceration is already affecting his relationship with his youngest son, something that disturbs him:

I am not there all the time... though I want to be, and it hurts me and it upsets me.... I can remember that my dad was never around and I was wondering what it was like to always have your dad around. So I always tell myself that I would want to be around for them and sometimes I found myself doing [to them] what happened to me, by me being incarcerated and not there in the years when they were growing up and their little personality starting to develop.... I know that it upsets the mother.... She wants me to be part of his life. [She] calls me now and she says, "Marty, Rahmene doesn't really even know you.... I missed all that time with Rahmene when I was an infant. He was born May of last year and he didn't know who I was. And she was like, 'That is your dad.' She came twice [to visit me]. ... But she didn't bring him. I was very disappointed.... I seen him through pictures but I didn't really get a chance to see him personally until I came home in May [of this year]."

Martinis's daughter was eight and his son was nine when he was first incarcerated for burglary. He thus had some time to establish a close relationship with them before he went to prison. He worries he has lost that opportunity with his younger son.

Donald, a thirty-year-old African American in Charleston, has two children, a teenaged daughter and a younger son, aged six. Donald says that his son does not like him very much because he was born when Donald was locked up—they did not meet until the boy was three years old. Donald's daughter is more forgiving because she had formed an attachment to him before he was incarcerated. She currently spends summers with Donald, and he is negotiating an arrangement with her mother that would allow the daughter to live with him full-time.

Because low-income men tend to become first-time fathers in their late teens and early twenties, at the very same time that they are most

engaged in criminal activity and are at highest risk of incarceration among our respondents with incarceration histories report any of their years spent in prison. As we showed earlier, The Fragile Families and Child Abuse and Neglect Study finds that more than 80 percent of the fathers responsible for the birth attended the birth or visited the mother and child immediately thereafter. Our data reveal that a father's presence at his child's birth is a key event that signals to the larger community (the father's kin, the mother's kin, and peers) his intention to take responsibility and, more important, to forge a solid emotional connection with that child. Noncustodial fathers who have been present at their children's birth often describe it as one of the most significant events in their lives. On a practical level, many states allow voluntary paternity in-hospital, and missing this opportunity to form a bond with the child means that the father's name is often not on the certificate and that the mother, the state, or the father can be held liable only through more difficult and costly means later on. Fathers are incarcerated when their children are born miss this crucial opportunity, and we speculate this may have consequences for their emotional investments in their children.

Recounts the contemporaneous occurrence of childbirth and incarceration:

[my son's mother] was pregnant when I was incarcerated and that she was pregnant. And I was like, "Whatever," you know we messed around and ... you never got pregnant [from me]. And she was like, "Yeah, it's from you, and what you want to do?" and I was like, "I'm not doing nothing, I'm going in here for while, I'm locked up." And one night, I was in the cell after a few months and I was like [praying], "God, if you let me out, show me it's my child, and just let me know something."

"sign" yet told us he had nagging doubts.

Both Donald and Julio were incarcerated for a period of several years. Yet our data show that even quite short periods of incarceration can mean that fathers of very young children regret having missed out on milestones in their child's development. Rick, a young African American father living in Camden, was spending just three months in jail when his son turned a year old. He was quite upset that the time away from his family caused him to miss out on the transition from infant to toddler: "That was last August, and my son had just... started walking and talking. And when I went [to jail] they barely let me see him. And when I got out, he was walking and talking.... That crushed me. 'Cause, you know, I wanted to see all that, you know. My first son."

Although incarcerated fathers must be separated from their children, one may wonder about the role of visitation in keeping fathers and children connected. In 1999, according to figures from the Bureau of Justice Statistics, 1.5 million children had a mother or father in prison (Mumola 2000). Although about 40 percent of imprisoned fathers reported weekly contact with children by phone, letter, or a visit, nearly 60 percent of incarcerated fathers reported never having seen their children since their admission to prison (Hairston 1998). Although our interviews did not address this question directly, our fathers who had served time seldom reported having received visits from their children, or even their children's mothers, while they were in jail or in prison. For a few, this was largely because they were sent to prison at an institution that was too far away for someone with no access to a car to visit. However, several others told us that serving time was harder if one remained in contact with one's friends and family on the outside. For this reason, they voluntarily cut themselves off from outside relations. Donald, who had been to prison several times, told us, "Normally when I'm locked up I don't accept visits. I don't write if I'm locked up and I don't do any of that, because it makes your time hard, you know, worrying about what's going on out there and thinking about what's going on out there; it makes it hard. You focus on getting this time done and getting this over with."

Mark was one of the few fathers whose child visited him regularly. His daughter was five when he was sent away for one year. Initially, it was her visits that kept him going. Yet toward the end of his sentence, he could not take the strain of constant reminders of home:

And the only thing that kept me going [when I was in prison] was my daughter... [She] came to see me every weekend, every weekend my daughter's mom brought her to see me—every weekend, when I was in the state, when I was still here. And the last three months of my sentence, they sent me all the way up there by the Poconos, ... [It was too hard for her to] make it, and I didn't want her to make it. She tried

of times, and I kept denying it, because the closer you get to leased the harder it got when you see people from home. And u want to do is try to tune them out. [But] I wrote everyday, Boy, you should see how many letters.

Fathers are incarcerated, they must rely on their children's be the conduit to their children, though sometimes a kin example, the father's mother) can also play that role. The mothers do this either actively by bringing children to visit in more passively by simply accepting collect phone calls or, their letters. Yet as noted earlier, incarceration can sever not father's relationship with his children but also his relationship children's mother.

A father and his children's mother are still romantically attached when he is initially incarcerated. However, this is a vulnerable relationship, and virtually all of the men in our sample at their girlfriends had formed new attachments while they son, Tom, a thirty-year-old white father from Philadelphia, imprisoned for selling drugs when his oldest child (now years old) was born. While in prison and unable to continue a lucrative career selling drugs, he lost his house (bought with friends) and his girlfriend, who took up with someone else. Although did not return to selling drugs after his release, his child's could not let Tom see his son unless he was paying regular something he found hard to do because his prison record out of most conventional employment (see chapter 8 in this interview, Tom had not seen his son in five

was in prison for less than a year and was planning on getting her with his daughter's mother when he was released. Yet as away, she met a man who drove a bus for the local transit then Mark came home and started working for McDonald's him back but still maintained a clandestine relationship with man. When Mark found out about it, he broke off the relation-

now." . . . If I wouldn't have got locked up . . . she would have never met no one else.

Mark's statement—that his girlfriend "would never have met no one else" if he had not been incarcerated—raises an interesting point. In fact, despite his belief to the contrary, it is quite likely that Mark and his girlfriend would not have stayed together much longer, even if he had not been incarcerated. Relationships between unmarried parents are extremely fragile (70 percent of poor unmarried parents break up before the child's third birthday), and fathers who engage in criminal activity are particularly likely to alienate their children's mothers (see Edin and Kefalas forthcoming). Seen from this angle, incarceration may not directly cause a breakup but merely provide an additional impetus and opportunity for girlfriends to escape from a relationship a bit earlier than they otherwise might have.

This may partially explain our second finding that when fathers are imprisoned, their children's mothers may use the fact that their child's father has a prison record as a justification to completely cut him out of the child's life, even if they knew full well of his criminal involvement before the incarceration. In low-income communities, particularly African American communities, mothers feel considerable pressure to keep their child's father involved, especially because many of the mothers grew up without a father around and sorely felt the lack. For women who do not want to continue dealing with a father whom they view as a *ne'er-do-well*, incarceration provides a socially acceptable excuse to deny visitation or even to simply disappear. Rubén, a twenty-year-old Puerto Rican living in Philadelphia, had his only child at the age of sixteen. When he was nineteen, he went to prison on a drug charge and served a one-year sentence. Upon his return to the neighborhood in which he and his child's mother had lived, he could find no trace of either of them. The mother did contact him to let him know that they were okay, but she refused to tell him where she was living or to let him see his son.

If the child's mother is addicted to drugs or otherwise deemed unfit (abusive or neglectful), a criminal record can make a judge unwilling to let the child's father have custody of the child. Thomas, a twenty-eight-year-old white Philadelphia father, has a nine-year-old daughter and a nine-year-old son by different mothers. Thomas was convicted of attempted murder when he was in his early twenties. Subsequently, his son's mother, a drug addict, lost custody of the children to the state. He describes what happened next:

[The state] took [my son] and put him in a foster home. After that happened, I had a court date the next week to see if I could get him. But

g happened—I think it was because of my criminal record—no and they denied me. And my lawyer said only two things open. You have the choice of leaving that child in a foster home or sign the rights over to the [foster] grandmother, just tell until you get yourself together and can convince the judge care for the child. . . . I didn't want him in no foster home so I

relationships between fathers and their children's mothers and become acrimonious, as they often do, men with criminals may find themselves at a distinct disadvantage. Many fathers and their former girlfriends use their records of criminal behavior, the fact that they have been in prison or are on parole, as an "alk trash" about them to their children and to others. Bill, a year-old white father in Philadelphia, claimed that his "ex-cop" for her, though they were never legally married) kept "told lies" about him (claiming he had violent tendencies in various ways) whenever she wanted something from him was resistant to provide it. He felt powerless in this situation once submitting to her wishes would not guarantee him acceptance.

Fathers with moderate or strong ties to their children or to their mothers, the threat of prison can act as a powerful deterrent behavior. Rick, a young African American father in Camarillo, was still together with the mother of his 18-month-old son,

see how those guys want to keep going to jail! That's crazy to s what I be tellin' these guys, man. The most I did was three in jail. . . . That's a place I don't want to be, I know that. . . . I don't see how these guys all just take little times of their life missin' a lot, man. Then they get out, these things have Most of the people I know be doin' two-, three-, four-year [s]. Three months, man [was too much for me]. . . . Yeah, it is intention to go back to selling drugs, but see, my son. That's really me, and my time that I did in jail. . . . that three months.

tached to their children to completely disavow drug sales, but it does slow their rate of offending dramatically. Lee is a forty-two-year-old African American father of three children by three different mothers. He maintains some contact with all three of his children. He has had any number of jobs since graduating from high school (construction, vending, factory work) but now works primarily through formal-sector day-labor agencies. He supplements his day-labor income by doing side jobs for friends and neighbors (yard work, moving) and by selling crack.

Lee sold drugs quite regularly before his son, now aged seventeen, was born. "This was my first [child]. I was nervous. I said, 'What am I going to do? Suppose I get locked up for selling drugs. I'm in jail. I can't provide [for his child's mother and the child]'. . . . So I straightened up." Lee is one of many fathers who say they tried to leave their illegal activities behind when they had children. Like these other men, Lee's reasons were threefold: he did not want anything to interfere with his ability to support his child financially, he did not want prison to come between him and his son relationally, and he wanted his son to think of him as an "upstanding" guy rather than as a criminal.

He sees the oldest child, who lives in Colorado, only once a year but is on excellent terms with the child's mother. He corresponds regularly with her and in that way maintains a line of communication to his son. She tells her son that his father is a "good guy," which pleases Lee. He sees his middle child only sporadically (about once a month), whenever "people, places, and things" take him to that part of town and the mother allows the visit. The child does not know that he is her father, and she calls him "Mr. Eddy" rather than "Daddy." He hopes that someday the mother will tell the child that he is her father. This child also gets cash or a bond on her birthday but no other support.

Lee lives with his third child and spends most evenings at home with her and her mother. Lee speaks often about the importance of being honest with his kids and of providing for them. Both, he feels, are compromised by any criminal activity that might end with incarceration.

What makes a good father is being honest. Being honest and trustworthiness in the community and outside the community. Being honest so that people will speak to you. That's the first part. . . . You walk with your kids and people speak to you, they respect you. . . . The second thing is being able to provide for your kids. When you take walk with them or walk around the neighborhood, they say, "Hey daddy, I want an [Italian] ice or a Popsicle." You can't say "I can't do that right now." [But] the main thing [is] being honest and truthful. Because if you're a liar and a cheat, nothing happens for you, nothing happens for your children because they'll tell them the dirt that you did. [They'll say] "Your father's a rotten motherfucker."

ged to stay pretty clear of the drug trade since his first son shoplifting. Both times, he was released almost immediately and then only to "provide" for his family. In the two years in his early twenties, Lee had sold to only three customers, and then only twice. Lee was arrested twice for possession of mari-

juana. In our interview, Lee had sold to only three customers, and then only twice.

combine their criminal activity with other more legitimate (and thus more socially acceptable) economic pursuits. Finally, they generally drink or smoke up all their "profits" from illicit work. None of these tendencies make them good father material in the eyes of their children's mothers. In fact, the involvement of such fathers with their children can be downright dangerous. It is for these reasons that fathers in this group who begin an episode of incarceration often feel they have nowhere to go but up in their relationships with their children.

Of course, not all fathers who had severed their ties with their children before incarceration used the experience to reorient their lives. Our sample, like nationally representative samples, contains a few serious offenders for whom nothing—not fatherhood, incarceration, or any other event—deters them from a criminal trajectory.

We also find that older fathers are more likely than younger fathers to use the event of incarceration to try to repair severed bonds with family. Although it is true that older fathers tend to have older children, making the reconnection potentially more difficult, each child a man fathers, from his first to his last, offers the potential for reconciliation, and these older fathers tend to concentrate their efforts on the youngest child (this is possible because the children often have different mothers).

In addition, we find some evidence in our interviews that fathers are more motivated to reconnect when they are somewhat older because they have learned that crime does not pay and they plan on going straight anyway. That is, the accumulation of experiences with criminal offending tend to change fathers' notions of how well crime—particularly the drug trade—pays in relation to more formal work. When such men transition to mainstream employment, they generally take jobs at the lowest end of local labor markets, as day laborers, factory workers, fast-food workers, and the like. This makes sense in light of the finding in chapter 8 of this volume that only 38 percent of employers in the four cities they studied would accept job applications from former offenders.

When the men we interviewed were younger, they were largely convinced by both street lore and their own early experiences that the drug trade paid better than these legitimate jobs. Over time, they saw that their profits fluctuated wildly, that their business constantly exposed them to long hours out of doors, and that drug dealing carried with it a substantial risk of death or imprisonment (this risk became palpable when they began to see more and more of their own street peers killed or disabled as a result of the trade). Even worse, they noted that because drug dealing often went hand in hand with drug addiction, over time their drug use escalated, and they drank or smoked up their profits, leaving them nothing to show for their efforts. Thus many had adopted the philosophy that "fast money don't get you nowhere" but "slow

SEVERED TIES

In these cases that incarceration can negatively affect fathers' ties with their children, either directly or indirectly, by severing children's mothers or being maligned by the women in front of their children, the threat of imprisonment can also deter some of these fathers from contact with those they care about (even from their children's mothers) because they are ashamed to be seen so "down and out." In every case we observed, this resulted from heavy use of alcohol or illicit drugs. Substance abuse is extremely hard on fathers who engage in heavy substance use often reinforce their own shame does not cause them to reevaluate why mothers do this: Drug addicts tend to imagine that the children's mothers shut them out. Fathers whose own families) to feed their habits. They engage in behavior that can follow them from the streets into the house- generally offend at high rates to feed their habits. The debili-

money." It is interesting that fathers who had made the issue "slow money" in "menial jobs" thought they might be able to forge a reconnection with their children than if they had "lived the life" of a street hustler to "get the paper" (the things for their children. Provided they could kick their unemployment, some said, was a powerful testimony to the fact that they expressed willingness to engage in meaningful work together."

Jimmy describes his most recent incarceration (he served an additional fifteen months because of a positive drug test while out on parole) as a "blessing in disguise." He "found God again" in prison and was able to "get himself together." He does not blame the loss of his relationship with his children's mother or his son on his repeated incarceration, because, he says, he was too busy using drugs and burglarizing factories to have much of a relationship with them anyway. Now, for the first time (and thanks to a cooperative foster parent who is a fellow Christian), he has been able to forge a relationship with his daughter. He thinks she loves and respects him now because "Daddy got himself together."

Jimmy's story shows that prison may function as a turning point and an opportunity to redirect one's life for those fathers whose lives have become so out of control (usually because of alcohol or drug addiction) that they need a powerful shock or a highly structured environment, like prison, to break their downward spiral. In Jimmy's case, his criminal lifestyle and the drug addiction that fueled it had already broken his bond with his children. Serving his most recent sentence, and the redirected religious fervor that resulted, helped him to break the cycle of burglary and drug use and to "keep clean" during the three years since his release. Thus, incarceration contributed both directly and indirectly to his rehabilitation as a father.

Jack, a thirty-three-year-old white father from Philadelphia, was convicted of five incidents of driving under the influence (DUI) in a single month after the mother of his children left him. Like Jimmy, serving time gave Jack the necessary perspective on his life and offered him an opportunity to renew a latent religious commitment. During his interview, Jack exclaimed,

Jail was the best thing that happened to me! I sat down on Christmas Eve in jail. Christmas Day in jail. I reflected on what I'd done. So I did a little soul-searching. I remember I was in maximum security. . . . Every night my conscience would come to me and beat the hell out of me. . . . Before imprisonment, when I was at home watching TV, my conscience would kick in and I'd turn the channel. . . . This was the first time my conscience actually had me alone so it could work on me, which it did. About four or five nights, whack, whack. . . . On the fourth night, I said, "Please, help me, God. If my son, I want to start again." And I felt forgiven. Well, that happened just before the first of January.

en praying to God ever since. I'm not born again or a Bible-
ust got God back in my life.

thirty-eight-year-old African American father, tells a similar story. In he was interviewed in 1998, he had been free for just under serving a six-month prison sentence for drug dealing. He had been an alcoholic, a drug addict, and a dealer. In fact, he was so involved in drug trafficking that he stayed out in the street all night long for weeks and change in the middle of the street. The water plugs would be on, wash up in the water plug, get a bar of soap, and change around the middle of the street, because I was out there on drugs, and I was in and out of jail."

Wilbert summed it up, "I pretty much didn't give a [drug] running around and I didn't care about anything." While he was arrested, he asked the mother of his thirteen-year-old son old daughter to bring them for a visit, but "they made up don't have time, they have [other things to do]—you know probably because he had not seen either one of them for quite before his arrest. Sitting in jail for five months while waiting for his trial, Wilbert got a chance to think about his life and how he to be different.

Prison a couple of times, but this last time really did some—it made me find myself. Maybe the first month or so when I was there, I would say that I wanted a beer, or when I get out I am still this weed or this paper (drug money). But I didn't get out I didn't get out in three or four months.... By then I was the right thing to do. I was going to church in there. I was an upholstery shop, making something like a dollar sometimes, but I learned a lot. It was vocational training, upholstery and always use it [later].

get him out of the neighborhood. He also broke into local stores and pawned the stolen goods to other stores nearby. By the time he was fourteen he had a crew of seven working alongside him, robbing stores and fencing the items to other local retailers, and reported making about \$1,000 a week for the eight of them.

The first time he got caught was when he was twelve. He had stolen a bike that belonged to the son of a police officer who lived in O's neighborhood, and the boy's father made sure that O was put in juvenile detention. Over the next six years, he was in and out of juvenile institutions for various offenses.

When he was eighteen he stopped burglarizing stores and began pimping the woman who would become the mother of his three kids; he later reported having made a good living doing so. When he was nineteen, he pulled a knife on three policemen whom he claims were hassling him. They also found a pound of marijuana on him, for which he served his first sentence as an adult. Although his children's mother is now dead (she was murdered by a client while he was in prison), O occasionally sees his sixteen-year-old daughter, who lives with her mother's mother and now has a child of her own. Regarding his children, O says, "Sometimes I worry about how my children are doing because I'm not in touch with them, and I never really been in touch with them. I see them from time to time, but it really worries me because there is not a bond there." Despite these worries, O's lifestyle has not changed, and he has no connection at all to his two younger children.

CONCLUSION

The first conclusion we draw from these case studies is that for male prisoners with children, the same life event—incarceration—can result in several different pathways, depending on the father's prior situation and his response. For those fathers who have fairly solid ties to family and whose lifestyle before imprisonment had not driven a deep wedge, incarceration disrupts the bonds fathers have to their romantic partners and to their children. Following Sampson and Laub, we expect that such disruption might well have a negative impact on the prospects of the father's rehabilitation and may reinforce his criminal trajectory rather than reverse it. Perhaps lowering some of the barriers to contact with children while imprisoned would mitigate this impact (for a review of these barriers, see Hairston 1998 and Nurse 2000, 2002).

A second conclusion we draw is that for fathers whose lifestyle before incarceration has already driven a wedge between them and their children (and their children's mothers), incarceration offers the opportunity to rebuild severed social ties by curbing the destructive behavior

eration in the first place. For these men, the potential of go-
ing to prison as a turning point to desistance, and fur-
thermore, the confuence of factors that might be able to identify the confuence of factors that
effect such a turnaround while incarcerated.
When combined with fear of incarceration, when combined with
coming a father, can act as a powerful deterrent to crimi-
nality. For those who may reverse a father's career trajectory. For those who
are involved in offending behavior before having a child but
then incarcerated, criminal behavior suddenly becomes far more
caught with risk. Fatherhood, on the other hand, offers
lived rewards to men if they can manage to avoid those
(incarceration or addiction) that might disrupt the father-child
relationship.

See qualitative data show that crime can have two different
ways low-income men use criminal activity only occasionally
to supplement their income from unstable or part-time work in the for-
mer case, in much the same way that low-income mothers rely on kin
and friends to supplement their meager incomes from welfare
work (Edin and Lein 1997). Ironically, the pressure for these
additional income is most acute when they first become
parents like strollers, cribs, and play-
ground equipment to provide for these family needs or to get by
on down-and layoffs is quite different from the patterns
of those fathers who steal or deal to support a
possibility is that the courts might try to distinguish
between the two different faces of crime, perhaps by taking testimonies
of the father's children into account, and then factor this
into the sentencing process. It is also quite apparent that a major culprit
in the relationships between fathers and family is severe drug and alcohol
abuse. Although incarceration may sometimes help these
abusive parents to reconnect with children, more emphasis
on proactivity policies that prevent substance abuse and the
costinevitably follows.

Conclusion of the study is that because we cannot assess the repre-
sentative size of the sample, we cannot reliably estimate the size of the
identified here. Moreover, owing to the qualitative nature
of the effects cannot be reliably
have drawn on, the size of the effects cannot be reliably
showing the relative size of the groups, as well as the size of
incarceration for each, is vitally important for policy and is
an important direction for future research.

NOTES

1. A fourth important trend is that the proportion of low-income fathers who are involved in the child-support enforcement system has grown significantly, award amounts have increased, and child-support enforcement policies have become increasingly punitive; many states now routinely garnish wages, seize tax returns, prosecute fathers who flee across state lines to evade a child-support order, revoke driver's and professional licenses, and imprison men for nonpayment of child support (Garfinkel, Meyer, and McLanahan 1998).
2. There are, however, a few excellent studies of incarcerated fathers' bonds with their children, both within prison (Hairston 1998) and after release (Nurse 2000).
3. The median age at first fatherhood is lower for those men who are involved in criminal activity than for the general population (Lerman 1993).
4. Laub and Sampson (1993) advocate a "person-centered" approach to research on criminal careers (Magnusson and Bergman 1988, 1990), which focuses on "persons" rather than "variables" and examines the life histories of persons over time.
5. All names of interviewees and their friends and family are pseudonyms.

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